

Mailing address (if different from above)

Number, Street, Floor, Suite

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City, State, Province, Region

--

Postcode

--

Country (Do not abbreviate)

--

Identification document (check one)

NRIC
 Passport
 Election ID Card
 Government Permanent Residence ID Card
 Driving Licence
 Others _____

Identification number for the document checked above

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Expiration date

D	D	-	M	M	-	Y	Y	Y	Y
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PART 2: TAX RESIDENCY

Residence for tax purposes. Generally, an individual will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including applicable tax treaties), regardless of whether the individual is required to file a tax return, the individual pays or should be paying tax therein on income from sources without and outside that jurisdiction by reason of the individual's domicile and residence, or any other criterion of a similar nature. Dual residents may rely on the tiebreaker rules contained in a tax treaty applicable to the individual to solve questions of dual tax residences. A resident of a jurisdiction also includes non-citizen individuals of a jurisdiction that hold a permanent resident card. An individual also may be resident of a jurisdiction based on the visa type the individual holds.

For additional information on determining tax residence, please consult your tax advisor.

I hereby certify that the Account Holder is a resident for tax purposes (see above for definition of "resident or residence for tax purposes") in the following jurisdiction(s) and the individual's Taxpayer Identification Number ("TIN") or functional equivalent (see below for definition of Taxpayer Identification Number ("TIN") or functional equivalent) in its jurisdiction of residence for tax purposes is/are:

Instructions. Please complete the following table indicating (i) where the Account Holder is a tax resident based on the above criteria; (ii) the Taxpayer Identification Number (TIN) for each jurisdiction the Account Holder is a tax resident (defined below); and (iii) whether the Account Holder is a U.S. citizen or a holder of a U.S. Permanent Resident Card ("Green Card").

If the Account Holder is a tax resident in more than three jurisdictions, please use a separate sheet of paper. Please sign, date and attach each additional sheet of paper to this form.

Jurisdictions of tax residence		TIN (the Tax Identification Number or equivalent number reflected on government income tax forms filed in the applicable jurisdiction. Enter the TIN in the exact format as required on government tax forms)	If no TIN is available, enter Reason A, B, C, or D as provided in the instructions below (and if you select Reason B or D , please provide an explanation)	Effective date	Termination date (if any)
	Jurisdictions	Reason			
i)					
ii)					
iii)					

Is the Account Holder a U.S. citizen?..... Yes No

Does the Account Holder hold a current U.S. Permanent Resident Card ("Green Card")?..... Yes No

If the Account Holder's TIN is unavailable, please indicate one of the following reasons:

- **Reason A** – The country where the Account Holder is liable to pay tax does not issue TINs.
- **Reason B** – The Account Holder has applied for a TIN or equivalent number. *If you select Reason B, you agree to provide Sun Life Malaysia with your TIN or equivalent number within 30 days of receiving it from the applicable tax authority.*
- **Reason C** – A TIN is not required to be provided on this form. *(Note: Select Reason C only if the tax authority of the jurisdiction of tax residence entered on the table below has enacted local law which does not require a TIN to be provided for purposes of OECD CRS).*
- **Reason D** – The Account Holder has not applied for a TIN or equivalent number or is unable to obtain a TIN or equivalent number. *If you select Reason D, please explain why in the following box.*

TIN (including "functional equivalent"). The term "TIN" means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an entity and used to identify an individual or entity for the purposes of administering the tax laws of such jurisdiction. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of this type of number include a Business/company registration code/number. Further details of acceptable TINs can be found at the following link: <http://www.oecd.org/tax/automatic-exchange/>. For additional information on TINs or functional equivalents, please talk to your tax advisor.

Please check (✓) this box to confirm the tax residence(s) provided stated above include all jurisdictions of tax residence applicable to the Account Holder.....

PART 3: DECLARATION AND SIGNATURE

I hereby certify that all statements made in this Individual Self-Certification form are, to the best of my knowledge and belief, correct and complete. I agree that I will submit a new Individual Self-Certification form within 30 days if any certification on this Individual Self-Certification form becomes incorrect. I also agree to advise Sun Life Malaysia promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide Sun Life Malaysia with an updated Individual Self-Certification form within 30 days of such change in circumstances.

By signing below, I understand and agree that Sun Life Malaysia may share information that I provided on this Individual Self-Certification form, including other information in your possession relevant to the tax qualification claimed on this Individual Self-Certification form, with relevant tax authorities in order to meet Sun Life Malaysia's local and foreign tax reporting obligations.

If you are signing this Individual Self-Certification form on behalf of the Account Holder, I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this Individual Self-Certification form relates. You agree to provide proof of authority upon request.

Print name as it appears in Part 1

Signature

Date - -

Please indicate the capacity in which you are signing the form (for example Attorney-in-fact, Legal Guardian, Signatory, etc.)

Capacity

APPENDIX 1 – DEFINITIONS

PLEASE NOTE:

Below are the definitions of selected terms used in this Individual Self-Certification form. They are provided to assist you with the completion of this form. They are provided for general information purposes only.

For additional information on the Foreign Account Tax Compliance Act (FATCA), as well as for terms not defined below, please refer to the Malaysia Intergovernmental Agreement and as applicable the U.S. FATCA Treasury Regulations or <https://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA>.

For additional information on OECD Common Reporting Standard, as well as for terms not defined below, please refer to Malaysia OECD legislation and country specific guidance and as applicable the OECD CRS and commentary or <http://www.oecd.org/tax/automatic-exchange/>.

If you have any questions about the meaning and application of these terms, you should contact your tax advisor.

Account Holder

The term "Account Holder" means the Individual named on Part 1 of this form that is the holder of the financial account maintained or to be maintained by Sun Life Malaysia. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example, in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder. In the case of a cash value insurance contract or an annuity contract, the Account Holder is any person entitled to access the cash value or change the beneficiary of the contract. If no person can access the cash value or change the beneficiary, the Account Holder is any person named as the owner in the contract and any person with a vested entitlement to payment under the terms of the contract (including a collateral assignee). Upon the maturity of a cash value insurance contract or an annuity contract, each person entitled to receive a payment under the contract is treated as an Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

Controlling Person

The term "Controlling Persons" means the natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" must be interpreted in a manner consistent with the Financial Action Task Force Recommendations.

Entity

The term "Entity" means a legal person or a legal arrangement, such as a corporation, trust, partnership, association or other organisation.

CRS or OECD CRS

The term "OECD CRS" means the Organisation for Economic Co-operation and Development Common Reporting Standard.

FATCA or U.S. FATCA

The term "U.S. FATCA" means the Foreign Account Tax Compliance Act provisions, which was enacted as part of the Hiring Incentives to Restore Employment (HIRE) Act on March 18, 2010.

Financial Account

The term "Financial Account" means an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.